UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vigunia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/769.542

01/30/2004

Anna Helgadottir

2345.2048-003

Date Mailed: 06/23/2004

CONFIRMATION NO. 5865

FORMALITIES LETTER

OC000000013041525

021005 HAMILTON, BROOK, SMITH & REYNOLDS, P.C. 530 VIRGINIA ROAD P.O. BOX 9133 CONCORD, MA 01742-9133

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - Numbers, letters, and reference characters on the drawings must measure at least 0.32 cm (1/8 inch) in height. See Figure(s) 9,12.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attentio ₹ is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 2, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 2, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 10769542 01 FC:8025 01 FC:8025

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63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

• Additional claim fees of \$3558 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$4458 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$3558
 - **\$2838** for **33** independent claims over 3.
 - \$720 for 40 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202 PART 2 - COPY TO BE RETURNED WITH RESPONSE

PATENT APPLICATION Attorney's Docket No.: 2345.2048-003



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

plicant: Anna Helgadottir

Application No.:

10/769,542

Group:

3736

Filed:

January 30, 2004

Examiner:

Confirmation No.:

5865

For:

SUSCEPTIBILITY GENE FOR MYOCARDIAL INFARCTION

AND STROKE

Date: 8/12/04

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TRANSMITTAL OF PROCESSING AND RETENTION FEE

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The Processing and Retention Fee pursuant to 37 C.F.R. §1.53(f)(5) is being submitted herewith. A continuing application which claims priority under 35 U.S.C. § 120 to the instant application pursuant to 37 C.F.R. § 1.78(a)(1)(iv) was filed on April 22, 2004.

- [X] A Notice to File Missing Parts dated June 23, 2004 has been received in the above-captioned application. The Processing and Retention Fee is being filed in lieu of a Reply thereto. A copy of the Notice is attached.
- [] A Petition for an Extension of Time for responding to the referenced Notice and the requisite petition fee are enclosed for the purpose of maintaining copendency with the continuing application.

- [X] The fee of \$130 as set forth in 37 C.F.R. §1.21(1) is enclosed herewith.
- [X] A check in the total amount of \$130 is enclosed herewith.
- [] Please charge Deposit Account No. 08-0380 in the amount of \$[].

Please charge any deficiency or credit any overpayment in the fees that may be due in this matter to Deposit Account No. 08-0380. A copy of this document is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

Elizabeth W. Mata

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Dated:

de August 11, 2004